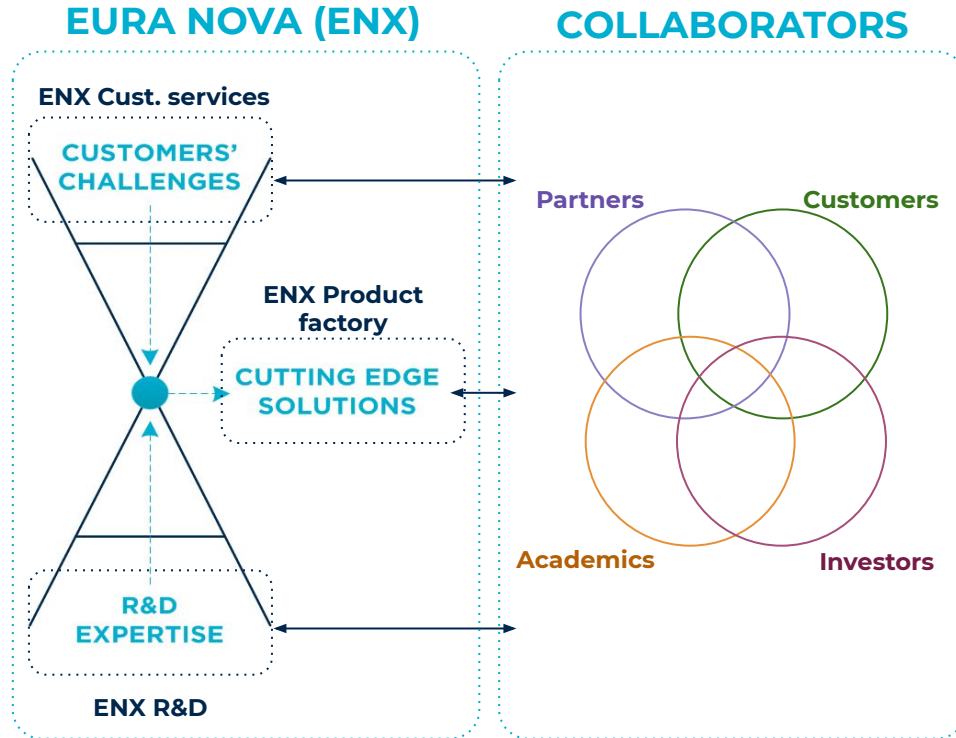


# LEAD: A Formal Specification For Event Processing

# EURA NOVA

Our business model



## EURA NOVA in figures



**4 main areas of expertise:** High performance & Distributed architecture, Graphs, Machine learning



10 years of research, development, and services in **data & information management**



**24 thesis & master thesis** produced in collaboration with 4 renowned universities  
**30 Publications** in scientific papers.  
**5 open source projects** released  
**3 workshops** collocated with IEEE Int. conference on Big Data (2016 - 2017 - 2018)



Currently supporting **4 major data shifts** in **3 distinct industries**

# Introduction

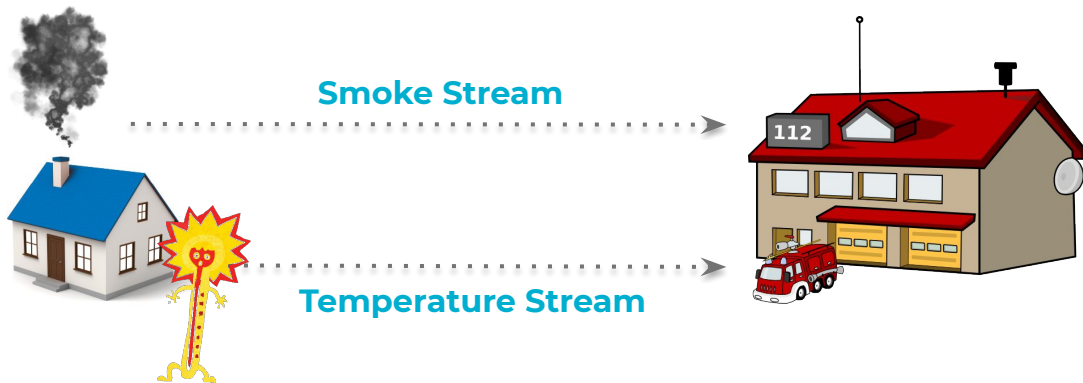
## What is Complex Event Processing?

Systems that are able to detect **interesting situations** by **correlating events** from different streams, **transforming** and **aggregating** them, and then **generating actions** are referred to as **CEP engines**

# Introduction

## What is Complex Event Processing?

Systems that are able to detect **interesting situations** by **correlating events** from different streams, **transforming** and **aggregating** them, and then **generating actions** are referred to as **CEP engines**



**IF Temperature** > 50 **within 3**  
minutes **followed by Smoke**

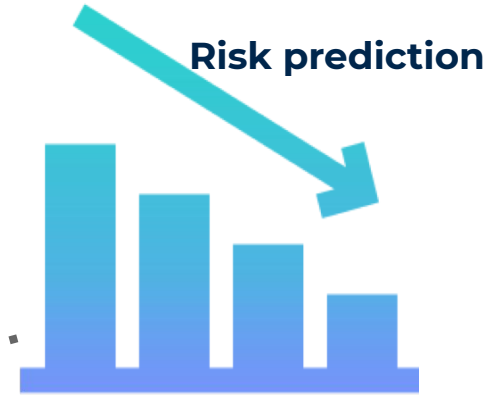
Raise **Fire Alarm**

# Introduction

Applications



Traffic congestion  
detection



RFID processing



Market  
analysis



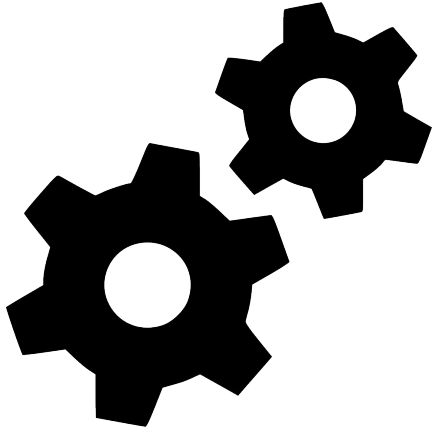
Surveillance

CEP



Network intrusion  
detection

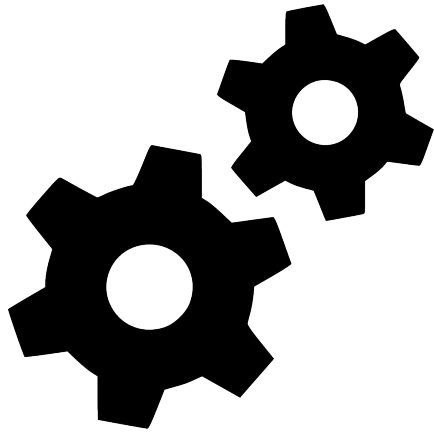
# CEP Challenges



## Technical

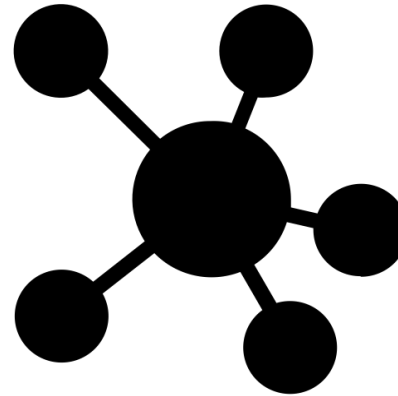
- Performance
- Maintainability
- Scalability

# CEP Challenges



## Technical

- Performance
- Maintainability
- Scalability



## Logical

- Ambiguous Semantics (Absence of formalisms and Selection & Consumption policies)
- Lack of Expressiveness and User-friendliness
- Missing operators (Negations, Sequences, Repetitions ... etc)

# Motivation

## Product Roll-up Tracking

A mobile gaming company wants to profile its applications. We assume the following four streams: **installations**, **accesses**, **artifacts bought** and **shares**; and the following four actions per each user and game and within the first 3 days from installation:

1. Success (**S**)  
 $\geq 5$ ,  $\geq 2$ ,  $\geq 2$
2. Middle-success & Leaving (**L**)  
 $\geq 3$  and  $\leq 5$ , **0**, **0** and the user did not connect within 2 days after the last access
3. Middle-success (**M**)  
 $\geq 3$ , and not (**S**) nor (**L**)
4. Failure (**F**)  
 $\leq 2$ , **0**, **0**



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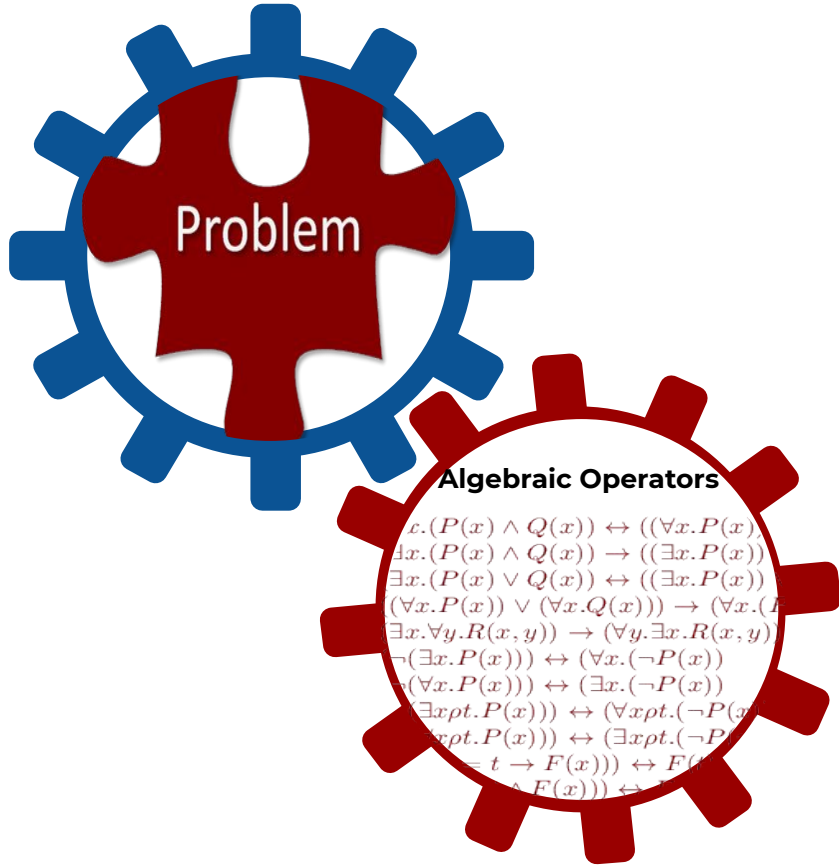
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 $\leq 2$ , **0**, **0**

There is no CEP framework capable of formulating this problem with less than four queries, although the patterns are similar to each other and have inter-dependencies.

# Contributions

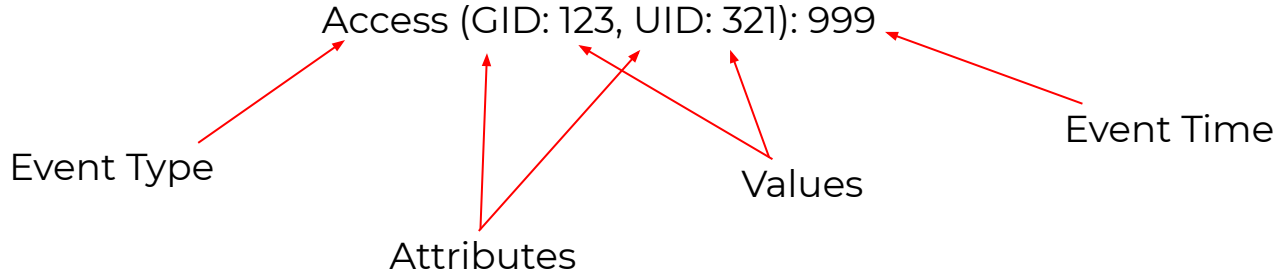
- 1 A pattern algebra that extends the common set of operators in CEP, and defines them formally using TRIO [1, 2], a logic-based specification language aggrandized with temporal features
- 2 A rule grammar that, using our pattern algebra, allows users to obtain different kinds of actions, depending on the characteristics of a matched pattern
- 3 A novel logical execution plan created based on a combination of timed colored petri nets with aging tokens [3] and prioritized petri nets [4], that we believe will facilitate the deployment of this plan in the future.

# Roadmap



# Pattern Model

## Event Representation & Formal Definitions



### Sequence Operator

$\rightarrow (\Omega_1, \Omega_2) =_{def}$

$\forall E_{\Omega_1}, E_{\Omega_2} \subseteq E, \exists m_1 \in M_{\Omega_1}, \exists m_2 \in M_{\Omega_1}$

$\{Match(\Omega_1 \rightarrow \Omega_2, m_1 \bowtie m_2) \leftrightarrow$

$[(Match(\Omega_1, m_1) \wedge In(\Omega_2, m_2) \wedge Match(\Omega_2, m_2)) \vee$

$\exists t_1 > 0 ((Past(Match(\Omega_1, m_1), t_1)) \wedge$

$Past(In(\Omega_2, m_2), t_1)) \wedge Match(\Omega_2, m_2)]\}$

### Repetition Operator

$+(\Omega, w_{acc}, w_{rt}, w_{in}) =_{def}$

$\forall w_{rt} \in W(P), \forall w_{acc}, w_{in} \in W(P_i), \forall E_{\Omega} \subseteq E, \exists M \subseteq M_{\Omega^+}, \exists t$

$\{Match(\Omega^+, \cup_{i \in \{1, \dots, |\Omega^+|\}} m_i = M, w_{acc}, w_{rt}, w_{in}) \leftrightarrow$

$[Past(In(), t) \wedge w_{rt} \wedge \neg w_{in} \wedge \forall m_i \in M, \exists t_1 < t$

$(Past(Match(\Omega, m_i), t_1) \wedge check((\Omega, m_i), w_{acc}))]\}$

# Pattern Model

## LEAD Operators

### Basic Operators:

- Renaming
- Filtering

### Temporal Constraints

- Within
- Wait

### Core Operators:

- Conjunction
- Disjunction
- Negation
- Sequence
- Repetition
- Subcontext

### Selection & Consumption Policies:

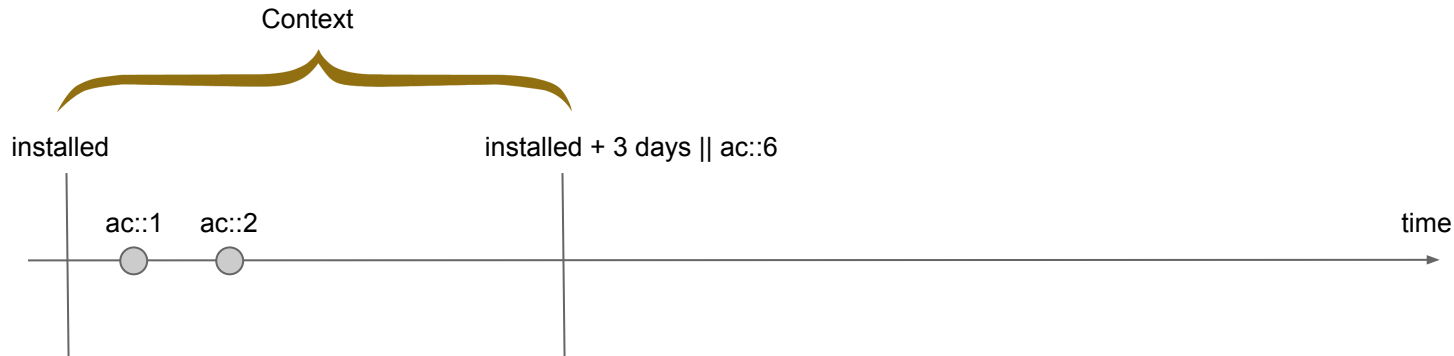
- First
- Last
- Adjacent
- Every
- All
- All ... Consume
- Repetition Max
- Repetition Min

# Pattern Model

## Context and Sub-context

### Middle-success & Leaving (L)

- $3 \leq \text{accesses} \leq 5$
- The user did not connect within 2 days after the last access

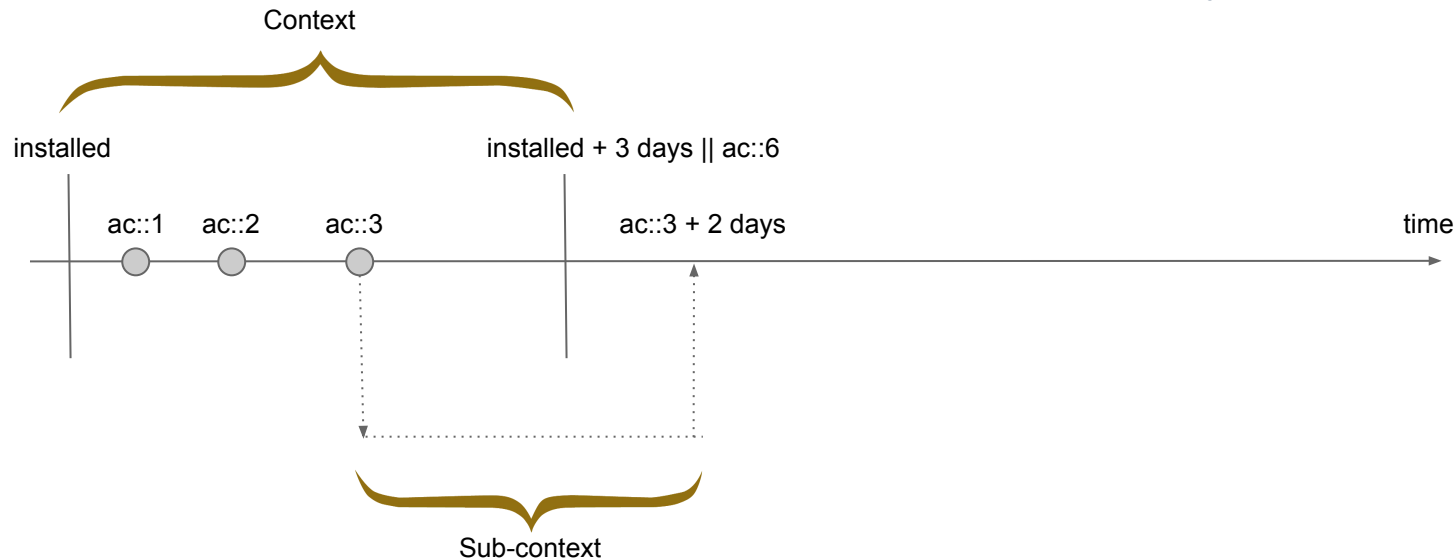


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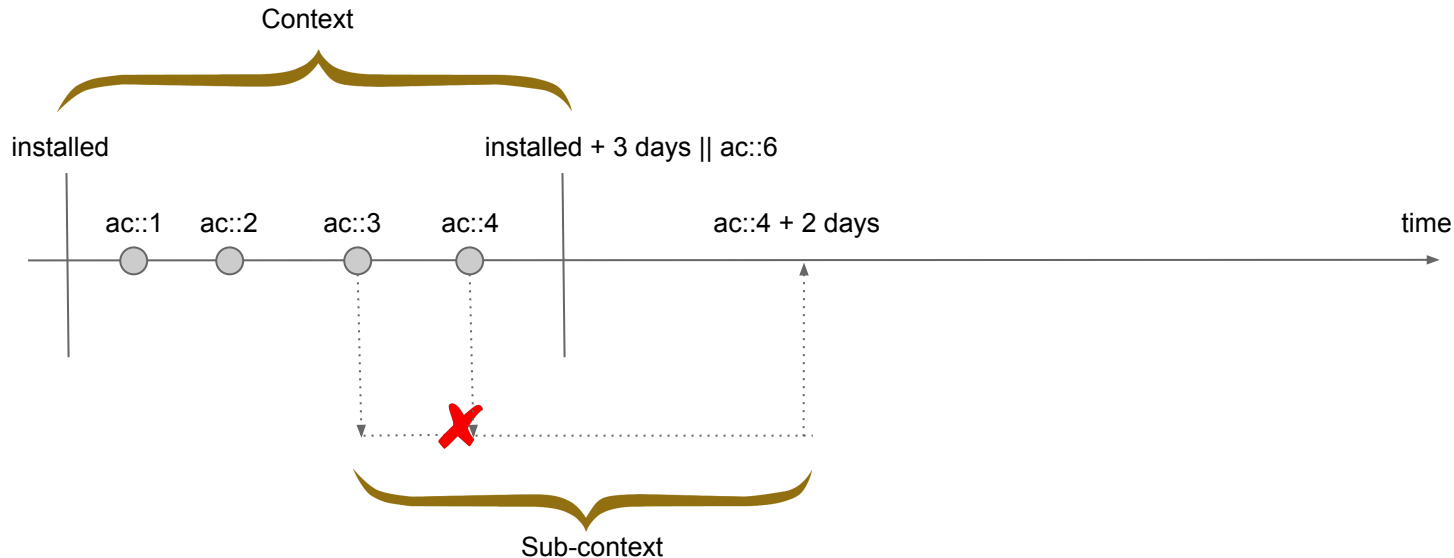


# Pattern Model

## Context and Sub-context

### Middle-success & Leaving (L)

- $3 \leq \text{accesses} \leq 5$
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# Roadmap

Problem

Algebraic Operators

$\forall x.(P(x) \wedge Q(x)) \leftrightarrow ((\forall x.P(x)) \wedge (\forall x.Q(x)))$   
 $\exists x.(P(x) \wedge Q(x)) \rightarrow ((\exists x.P(x)) \wedge (\exists x.Q(x)))$   
 $\exists x.(P(x) \vee Q(x)) \leftrightarrow ((\exists x.P(x)) \vee (\exists x.Q(x)))$   
 $((\forall x.P(x)) \vee (\forall x.Q(x))) \rightarrow (\forall x.(P(x) \vee Q(x)))$   
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 $(F(x) \rightarrow t) \leftrightarrow (\neg F(x) \vee t)$   
 $(F(x) \wedge F(x)) \leftrightarrow F(x)$

Rule Grammar

```
select <agg-func-list>  
from <stream-name>  
where <preds>  
window <window-length> : default-window-length
```

```
Select A.*, B.*, C.*  
from StreamA A, StreamB B, StreamC C  
A.A1 = B.A2 and A.A3 = C.A3 and B.A3 = C.A3
```

# Rule Grammar

## Grammar

FROM	<streams>
[DEFINE	<event types   event instances>]
[ENRICH	<event types>]
MATCH	<pattern expression>
[PARTITION BY	<attributes   window>]
EMIT	<actions   complex emit>

# Rule Grammar


## Grammar

FROM	<streams>
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[ENRICH	<event types>]
MATCH	<pattern expression>
[PARTITION BY	<attributes   window>]
EMIT	<actions   complex emit>

<complex emit>	FIRST <check clause>   ANY <check clause>
<check clause>	<complex emit>   (<where clause> actions) <sup>+</sup>
<where clause>	WHERE conditions

# Rule Grammar

## Product Roll-up Tracking Rule



```
FROM Installations AS _in, Accesses AS _ac, ArtifactsBought AS _ab, Shares AS _sh
DEFINE TimeEvent tc(_in.event_time, _in.event_time + 3 days)
      EventType leaving(BOOLEAN leaving(FALSE))
MATCH _in Followed By (collect(_ac) terminate (!tc or count()==6) AS acs
                      and collect(_ab) terminate (!tc or count()==2) AS abs
                      and collect(_sh) terminate (!tc or count()==2) AS shs)
Subcontext (ac ==> acs.RANGE(3, 5) (MATCH (not _ac Within 2 days) Emit Event leaving(TRUE)))
                      terminate(abs.count()>0 or shs.count()>0) AS ls
PARTITION BY _in.uid, _in.gid
CHECK FIRST
  WHERE (count(ac)s)>=5 and count(abs)==2 and count(shs)==2) Emit Event Success(gid)
  WHERE (count(ac)s)>=3)
    CHECK FIRST
      WHERE (AT LEAST 1 (ls.event_time > _in.event_time + 3 days) and count(abs)==0 and count(shs)==0)
        Emit Event Middle_Success_Leaving(gid)
      WHERE (TRUE) Emit Event Middle_Success(gid)  END
  WHERE (count(ac)s <= 2 and count(abs)==0 and count(shs)==0) Emit Event Failure(gid)  END
```



# Rule Grammar

## Product Roll-up Tracking Rule

FROM Installations AS \_in, Accesses AS \_ac, ArtifactsBought AS \_ab, Shares AS \_sh

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PARTITION BY \_in.uid, \_in.gid

CHECK FIRST

WHERE (count(ac) >= 5 and count(abs) == 2 and count(shs) == 2) Emit Event Success(gid)

WHERE (count(ac) >= 3)

CHECK FIRST

WHERE (AT LEAST 1 (ls.event\_time > \_in.event\_time + 3 days) and count(abs) == 0 and count(shs) == 0)

    Emit Event Middle\_Success\_Leaving(gid)

WHERE (TRUE) Emit Event Middle\_Success(gid) END

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```
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```
  CHECK FIRST
```

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    WHERE (AT LEAST 1 (ls.event_time > _in.event_time + 3 days) and count(abs) == 0 and count(shs) == 0)
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```
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# Roadmap

Problem

Algebraic Operators

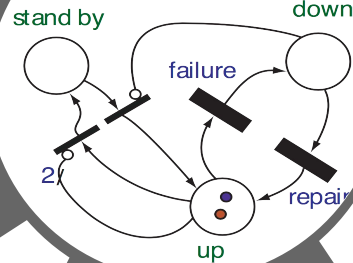
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Rule Grammar

```
select <agg-func-list>  
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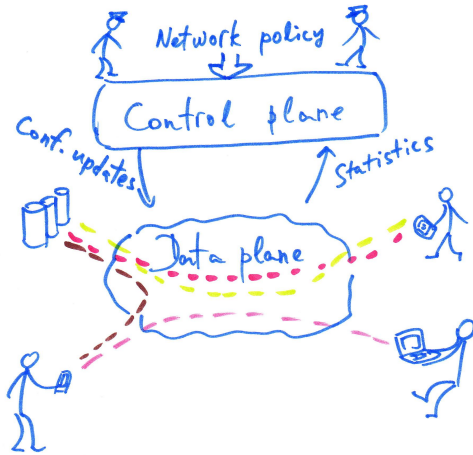
Logical Execution Plan





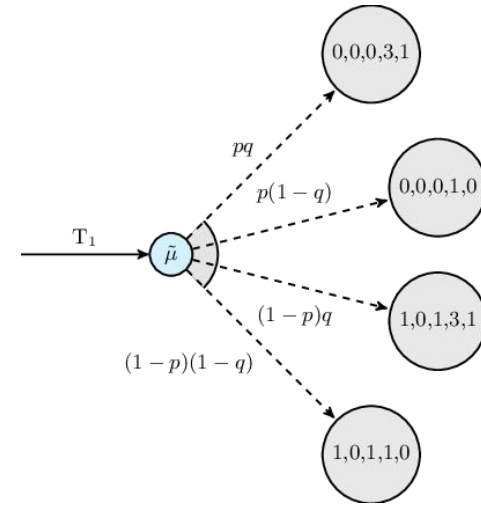
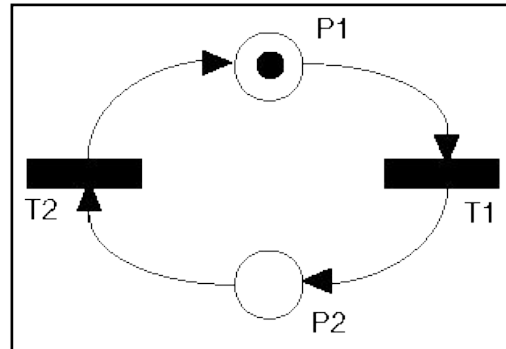
# LOGICAL EXECUTION PLAN

Why Petri Nets?



**Concurrency & Synchronization**

**Places, Transitions, Edges and Tokens**



**Probabilistic CEP**

# LOGICAL EXECUTION PLAN

## APCPN Definition

**$N = (\Sigma, P, I, IC, OC, TT, \pi, IT, G, r_0)$**

**$\Sigma$** : is a finite set of types (colours),  $\Sigma \subseteq E^{[n]}$ ,  $n \in \mathbb{N}$ ;

**$P \equiv [p_1, p_2, \dots, p_{|P|}]$** : is a finite set of places, which can be either stateless, i.e. they pass tokens between transitions, or stateful, i.e. they preserve tokens in ordered structures;

**$I$** : is a finite set of transitions. Transitions are either temporal guards, consumers or intermediate transitions;

**$IC \subseteq (P \times I)$** : is a finite non-empty set of input arcs;

**$OC \subseteq (I \times P)$** : is a finite non-empty set of output arcs;

**$TT: P \Rightarrow \Sigma$** : is a color function, where each place has a single type that belongs to  $\Sigma$ , and all the tokens on this place must be of the same type;

**$\pi: IC \Rightarrow \mathbb{N}^0$**  is a priority function;

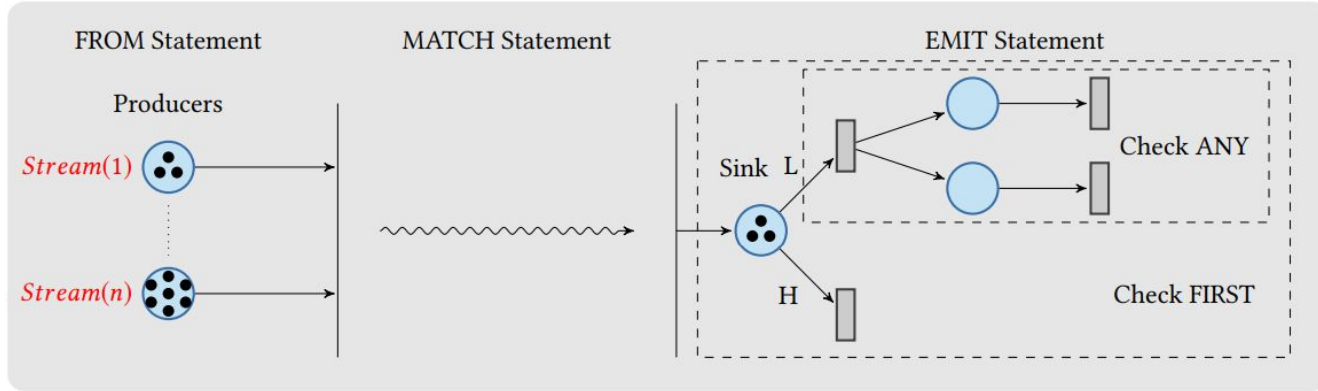
**$IT: I \Rightarrow \mathbb{R}$**  is a time expression function;

**$G: I \Rightarrow \text{boolean}$**  is a guard function that maps each transition  $i \in I$  to a boolean expression over all the incoming arcs  **$IC(i) \subseteq IC$** ;

**$r_0 \in \mathbb{R}$**  is an initial marking from the set of all markings  **$\mathbb{R}$** .

# LOGICAL EXECUTION PLAN

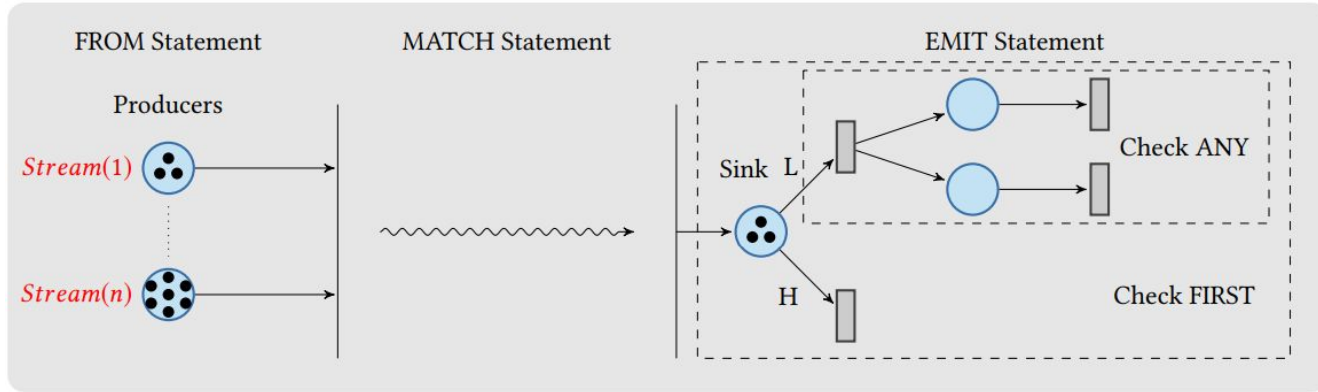
## LEAD Rules in APCPN



LEAD Rule in APCPN

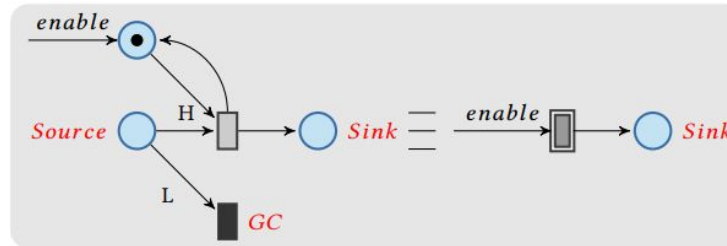
# LOGICAL EXECUTION PLAN

## LEAD Rules in APCPN



## LEAD Rule in APCPN

Source Pattern

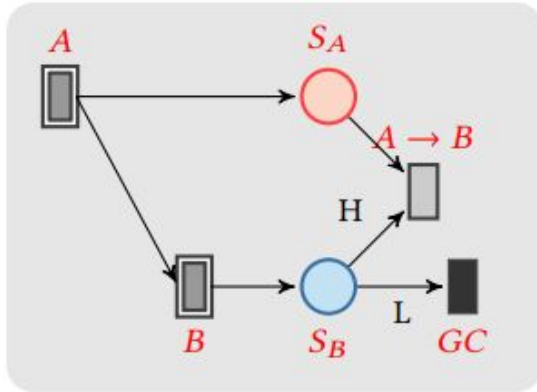


Compact version

# LOGICAL EXECUTION PLAN

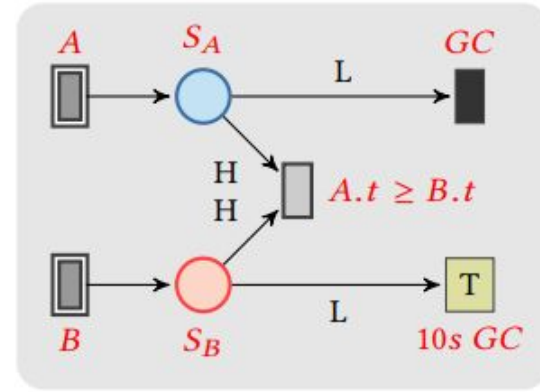
## LEAD Rules in APCPN

Sequence Operator:



**A followed by B**

Within Operator:

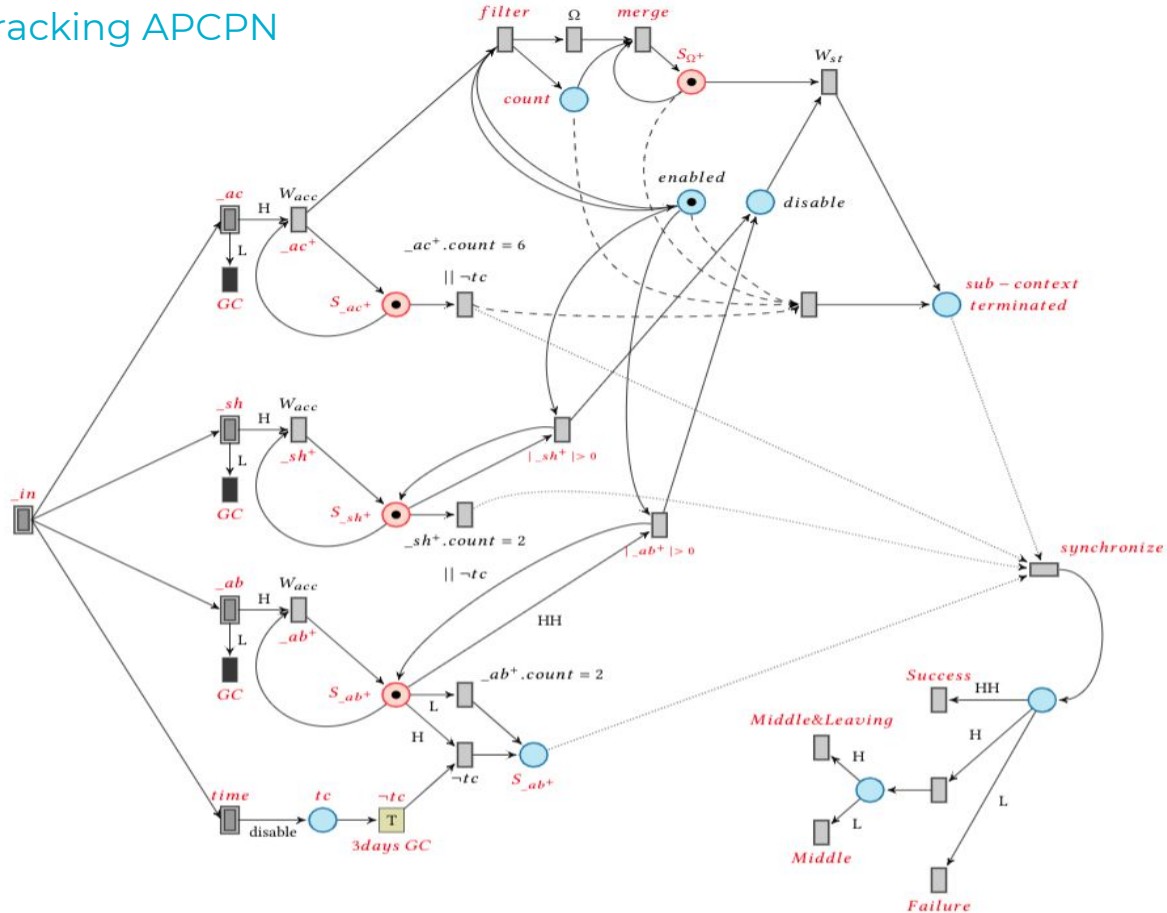


**A within 10s from B**

**Two forms of sequencing events**

# LOGICAL EXECUTION PLAN

Product Roll-up Tracking APCPN



# Roadmap

Problem

Algebraic Operators

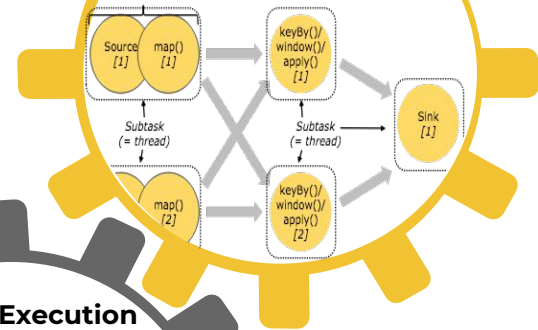
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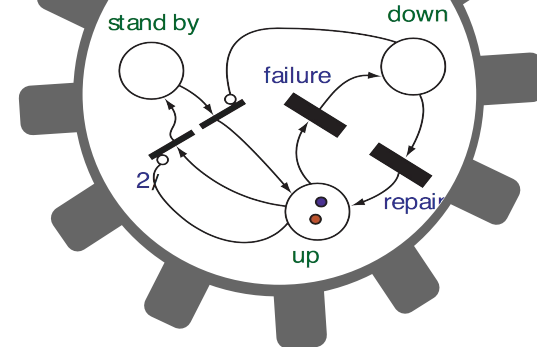
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Select A.*, B.*, C.*  
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```

Streaming Job



Logical Execution Plan



# Status & Future Work

## Current Status

- $\alpha$  DSL and compiler for LEAD rules
- $\alpha$  library built to help mapping APCPNs to the physical plan in Apache Flink

## Future Work

- Discussing and implement query optimizations on both logical and physical levels
- Demonstrating the power of our approach by benchmarking the performance of LEAD CEP
- Probabilistic CEP



# Summary

- Both technical and logical challenges were the reasons behind LEAD;
- 18 operators were introduced and formalized using TRIO trying to eliminate ambiguous behaviours;
- The decent set of operators and extending the capabilities of the query language were meant to increase the expressive power in CEP;
- Aging tokens prioritized colored petri nets, as a logical execution plan, is where logical optimizations take place, and our intentions for a highly performant scalable engine are shown;
- Benchmarking LEAD and probabilistic CEP are the next topics to tackle as soon as LEAD is ready and well integrated with Apache Flink.



**Anas Al Bassit**

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# 4th Workshop on Real-time & Stream Analytics in Big Data & Stream Data Management

COLOCATED WITH  
THE 2019 IEEE INTERNATIONAL  
CONFERENCE ON BIG DATA

Los Angeles, CA, USA

**December 9-12, 2019**



ENX . Workshop

# History of CEP

Starting from Event Stream to data mining

Pattern & state matching

2003

Borealis - Aurora  
(MIT - Brown)  
STREAM (Stanford)  
Telegraph (Berkeley)  
NiagaraCQ  
(Univ. of Winsconsin)

Event Stream  
Processing &  
CEP

Event Streams  
CQL  
Pattern Match.  
Hist. SStorage  
Adaptive Sched.  
Op. Placement  
Rich Operators

Orange CRS  
AUSTRAL

Chronicle

Event Tree  
Chronicle QL  
Pattern Match.  
Tree Storage  
Centralized  
Leaf Placement

(1) Stream processing only (2) Pattern matching only as Cont. queries

2005

Event  
Stream  
Processing

Event SStreams  
Generic EP  
Global Sch.

Data Str. from  
Storage  
Dist. Storage  
Rich Operators  
Op Placement  
Co-Loc Sched.

Data Stream  
Processing

Microsoft Dryad  
Nephele (TU Berlin)  
Hyracks (Univ. California -  
Yahoo!)  
AROM (Univ. Brussels)  
Spark  
Flink  
Samza

Oracle CEP (BEA)

CEP

Event Streams  
CQ & CQL  
Pattern Match.  
Hist. Storage  
Centralized  
Op. Placement  
Rich Operators

Chronicle  
2.0

Event Tree  
Chronicle QL  
Pattern Match.  
Tree SStorage  
Distributed  
Leaf placement  
Rich Predicates

2006

2012

Twitter Storm  
Twitter Heron  
Apache S4  
IBM infoSphere (System S)  
Flink Streaming  
Spark Streaming  
SAMZA

Mining on  
Data Stream

ML language  
Loop aware sc.  
Cache aware sc.  
Iteration Mng  
In-Memory

Microsoft Naiad  
Meteor/Sopremo (TU Berlin)  
Scalops (Univ. California -  
Yahoo!)  
SAMOA

ESper  
Tibco BE  
IBM-Coral8  
Rule Core

CEP  
2.0

Event Tree  
CQ & CQL  
Pattern Match.  
Tree/NFA states  
Distributed  
Leaf placement  
Rich Predicate

CloX (Univ. of Brussels)  
Orange Labs

2014

# History of CEP

Starting from Event Stream to data mining

Pattern & state matching

